



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 17, 2004

Signature:

(Jeffrey S. Dickey)

3621  
Edw

Docket No.: SONY 3.0-034  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
*Maritzen et al.*

Application No.: 10/015,070

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: Not Yet Assigned

For: METHOD AND SYSTEM OF CONDUCTING  
NETWORK-BASED TRANSACTIONS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: June 17, 2004

Respectfully submitted,

By

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West  
Westfield, New Jersey 07090  
(908) 654-5000  
Attorney for Applicant



PTO/SB/08a/b (08-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete If Known</b>			
		Application Number	10/015,070-Conf. #9467		
		Filing Date	December 11, 2001		
		First Named Inventor	L. Michael Maritzen		
		Art Unit	3621		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-034

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AK	US-5,056,019	10-08-1991	Schultz, et al.	
	AG	US-5,710,887	01-20-1998	Chelliah, et al.	
	AD	US-5,734,838	03-31-1998	Robinson, et al.	
	AH	US-5,884,271	03-16-1999	Pitroda	
	AA	US-5,897,622	04-27-1999	Blinn, et al.	
	AC	US-5,923,016	07-13-1999	Fredregill, et al.	
	AF	US-5,948,040	09-07-1999	DeLorme, et al.	
	AJ	US-6,009,415	12-28-1999	Shurling, et al.	
	AE	US-6,012,039	01-04-2000	Hoffman, et al.	
	AB	US-6,018,724	01-25-2000	Arent	
	AM	US-6,023,679	02-08-2000	Acebo, et al.	
	AI	US-6,119,096	09-12-2000	Mann, et al.	
	AL	US-6,138,911	10-31-2000	Fredregill, et al.	
	AN	US-6,163,772	12-19-2000	Kramer, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

499508\_1.DOC

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--